



Ref. No. I31-0001  
Client: IBM Corporation

PATENT

Receipt  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Carol J. Dose, et al

Serial Number: 09/865,967

Filed: May 23, 2001

Title: System and Method for  
Performing Ratio Planning

Assistant Commissioner for Patents  
Application Processing Division  
Customer Correction Branch  
Washington, D.C. 20231

Art Group Unit: 2171

I certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231 on

July 31, 2001  
(Date of Deposit)

Vickie McCullough  
(Name of Person Mailing Paper)

*Vickie McCullough* 7/31/01  
Signature Date

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The requested changes are noted on the copy of the official filing receipt.
2. There is an error with respect to the following data, which is:  
  
☒ incorrectly entered, and/or  
  
☐ omitted.

Error in

Correct data

1. ☒ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Serial Number

1. Gregory M. Heetland
- 2.
- 3.
- 4.
- 5.

6. ☐ Foreign Application

6.

7. ☐ Other:

7.

Respectfully submitted,

Carol J. Dose, et al

CANTOR COLBURN LLP  
Applicant's Attorneys

By: 

David A. Fox

Registration No. 38,807

Date: July 31, 2001

Address: 55 Griffin Road South, Bloomfield, CT 06002

Telephone: (860) 286-2929

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/865,967	05/25/2001	2171	1160	YOR920010144US1	3	45	3

CONFIRMATION NO. 5971

## FILING RECEIPT



\*OC00000006348100\*

PHILMORE H. COLBURN II  
CANTOR COLBURN LLP  
55 GRIFFIN ROAD SOUTH  
BLOOMFIELD, CT 06002

Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Carol J. Dose, Zumbrota, MN;  
James T. Griffin, Rochester, MN;  
~~Gregory M. Heetland, Rochester, MN;~~ Gregory M. Heetland, Rochester, MN;  
Joseph C. Maljevec, Wappingers Falls, NY;  
Jennifer L. Matthews, Cedar Park, TX;

## Assignment For Published Patent Application

INTERNATIONAL BUSINESS MACHINES CORPORATION, ARMONK, NY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 07/26/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED  
2001

CANTOR COLBURN LLP